



REPRESENTATIONS AND CERTIFICATIONS

January 3, 2014

Aerovironment, Inc. (hereinafter referred to as **AV**) requires certain information from you relative to your compliance with certain requirements. **Accordingly, you are required to complete the applicable sections of this Representations and Certifications form and return it to AV with a handwritten, authorized signature.**

The OFFEROR makes the following Representations and Certifications, where applicable, as part of its offer. **A complete copy of this original form containing a handwritten, authorized signature in part "C", must be submitted to AV prior to award of any purchase order.**

Company Name: RIGIFLEX TECHNOLOGY, INC.
Address: 1166 N. GROVE ST.
City: ANAHEIM
State: CA Zip: 92806
Company's Federal Tax Identification Number: 33-0517280
NAICS Code(s): 334418

Instructions

The Offeror must complete **all sections** of this form as follows:

- Check all appropriate boxes in **Parts A** for all offers of \$00 to \$149,999 (as noted).
- Check all appropriate boxes in **Parts A & B** for all offers exceeding \$150,000.
- Sign the form in **Part C**.

Do not use white-out on this form. Strike out and initial all corrections.

Part A

1. Certification Regarding Debarment, Suspension, Proposed Debarment, and Other Responsibility Matters (all orders)

The Offeror certifies that, to the best of its knowledge and belief, the Offeror and/or any of its Principals

☐ **ARE**

☒ **ARE NOT** presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency.

And

☐ **HAVE**



- ☒ **HAVE NOT**, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statement, or receiving stolen property.

And

- ☐ **ARE**
- ☒ **ARE NOT** presently indicted for, or otherwise criminally or civilly charged by a government entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision.

The Offeror further certifies that the Offeror and/or any of its Principals

- ☐ **HAVE**
- ☒ **HAVE NOT**, with a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

2. SUPPLIER CONFLICT MINERAL CERTIFICATION (all orders)

The Dodd-Frank Wall Street Reform and Consumer Protection Act, Section 1502 imposes Securities and Exchange Commission (SEC) reporting requirements (17 CFR Parts 240-249(b)) upon manufacturers if their product(s) contain metals derived from minerals defined as "Conflict Minerals."

This certification covers all products provided to AV by Supplier on or after January 31, 2013. As such, Supplier further certifies that it has not provided, and will not provide, AV with any products or materials that contain such Conflict Minerals. In the event that Supplier has reason to believe or suspect that it may have Conflict Minerals in its products or materials, Supplier shall immediately notify AV in writing and provide basis for its knowledge or belief.

	I certify that we have not determined if we are in full compliance with the SEC's final rules governing Conflict Minerals. Anticipated completion date is _____
X	I certify that we are in full compliance with the SEC's final rules governing Conflict Minerals.
	Not applicable (not providing any products to AV). However, Supplier shall notify AV and provide certification should this status change.

3. Certification of Equal Employment Compliance (all orders)

By submission of this certification, the Offeror certifies that, to the best of its knowledge and belief, except as noted below, up to the date of this offer no written notice, such as a show cause letter, a letter indicating probable cause, or any other written notification citing specific deficiencies, has been received by the Offeror from any Federal Government agency or representative thereof that the Offeror or any of its divisions or affiliate or known first-tier subcontractors is in violation of any of the provisions of Executive Order 11246 of September 24,



1965, as amended, Executive Order 11375 of October 13, 1967, as amended, or rules and regulations of the Secretary of Labor and specifically as Equal Employment Opportunity Program.

4. Previous Contracts and Compliance Reports

(The following representation shall be completed by each Offeror that employs 50 or more employees if its offer exceeds \$10,000.)

The Offeror represents that the Offeror

☐ **HAS**

☒ **HAS NOT** participated in a previous contract or subcontract subject either to the Equal Opportunity clause of this solicitation, the clause originally contained in Section 310 of Executive Order No. 10925, or the clause contained in Section 201 of Executive Order No. 11114.

The Offeror further represents that the Offeror

☐ **HAS**

☒ **HAS NOT** filed all required compliance reports.

Finally, the Offeror represents that Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

5. Affirmative Action Compliance

(The following representation shall be completed by each Offeror that employs 50 or more employees if its offer exceeds \$10,000.)

The Offeror's total number of employees is **14**.

The Offeror represents that the Offeror

☐ **HAS** developed and has on file

☒ **HAS NOT** developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor.

Or

☒ **HAS NOT** previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

Or

☐ **Not applicable** because the resultant contract will be a construction contract.

If Offeror represents that it has not developed and does not have on file at each establishment affirmative action programs required, or it has not previously had contracts subject to the written affirmative action program requirements, then Offeror certifies, by submission of this offer, that it will develop and have on file, at each establishment, a



written affirmative action compliance program within 120 days from the commencement of its first subcontract of more than \$50,000.

PART B

Note: Part B is applicable when the offer exceeds \$150,000. Complete all sections in Part A, Part B and Part C.

1. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (FAR 52.203-11)

(a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation of Payments to Influence Certain Federal Transactions, included in this solicitation are hereby incorporated by reference in paragraph (b) of this certification.

(b) The Offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989:

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his behalf in connection with this solicitation, the Offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer through the Alliant Techsystems Procurement Representative;

and

(3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$150,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by Section 1352, Title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000.



PART C

Note: All Offerors must sign in Part C. The signature must be handwritten and authorized.

Company Officer Name	DHIRU SORATHIA
Business Title	SALES
Company Name	RIGIFLEX TECHNOLOGY, INC.
Address	1166 N. GROVE ST. CA USA 92806
Company Phone Number	714-688-1500
Company email Address	pcboards@rigiflex.com
Company Fax Number	714-688-1500
Website	<u>WWW.RIGIFLEX.COM</u>
Date Month/Day/Year	02/07/2014
Signature	